

Elastomer based tunable optofluidic devices†

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The synergetic integration of photonics and microfluidics has enabled a wide range of optofluidic devices that can be tuned based on various physical mechanisms. One such tuning mechanism can be realized based on the elasticity of polydimethylsiloxane (PDMS). The mechanical tuning of these optofluidic devices was achieved by modifying the geometry of the device upon applying internal or external forces. External or internal forces can deform the elastomeric components that in turn can alter the optical properties of the device or directly induce flow. In this review, we discuss recent progress in tunable optofluidic devices, where tunability is enabled by the elasticity of the construction material. Different subtypes of such tuning methods will be summarized, namely tuning based on bulk or membrane deformations, and pneumatic actuation.

1. Introduction

During the last few years, as a multidisciplinary research field, optofluidics has been gaining significant progress by combining microfluidics with optical components and methods.^{1–5} Although the idea of using fluids to construct optical devices can be traced back hundreds of years⁶ to when the liquid mirror and waveguide were invented, the emergence of optofluidics was preceded by the development of microfluidics that has led to the miniaturization of the fluidic circuit and its control. Similar to the development of microfluidics which has enabled the integration of multiple fluidic tasks on a chip, optofluidic integration provides a broad spectrum of optical toolkits by combining optics and microfluidics.

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Integration and reconfigurability are the two major advantages associated with optofluidics. Optofluidic devices show exceptional capability for adaptation and tunability resulting from their construction with fluidic materials and also the elastomer materials often used for construction of the microfluidic channels. For example, liquids hold a wide choice of different refractive indices and optical nonlinear properties. A specific refractive index can be easily realized by fluidic mixing. Liquids can also be doped with different gain or absorbing media, such as fluorescent dyes or plasmonic particles to achieve various properties.^{7,8} In addition, liquids can naturally form smooth boundaries of optical quality.^{9,10} Moreover, due to the inherent property of the liquid state, the shape of the fluid can be variable. For instance, through the electrowetting effect, several tunable optofluidic devices have been developed and commercialized successfully, most notably the tunable liquid lens and electronic paper.^{11,12}

In this review, we focus on a different group of tunable optofluidic devices, based on the elasticity of the solid-state material that defines the devices themselves. While similar efforts have been reported for mechanically tunable optical devices based on crystalline materials,^{13–15} herein our focus is the employment of soft materials, such as polydimethylsiloxane (PDMS), and specifically how the elastomer deformation can give rise to optical tunability. The latter can take place in two ways. The first is by physical deformations of embedded optical

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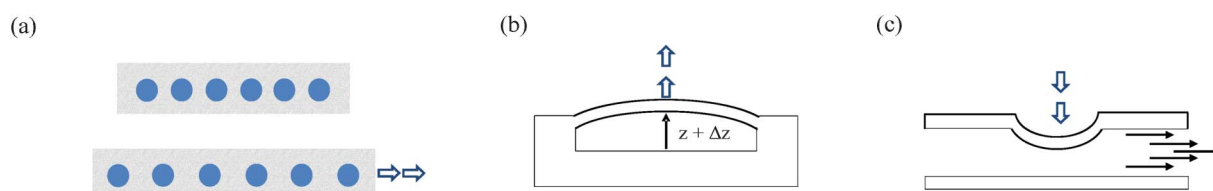


Fig. 1 A schematic summary of the different possibilities for inducing optical tunability in optofluidics by applying external or internal forces on elastomers.

microstructures (e.g. a photonic crystal, Fig. 1a) or membranes (Fig. 1b) that in turn modify the optical boundary conditions. The second is light affecting the shape or geometry of the elastomers giving rise to flow, but also the opposite tunability emerges due to flow (Fig. 1c).

As an elastomer, PDMS is one of the most versatile materials in optofluidics, exhibiting excellent elasticity and optical properties. PDMS is also biocompatible,¹⁶ inexpensive, transparent (240 nm–1100 nm) with low autofluorescence,¹⁷ and it can be molded with resolution of several nanometers using a modified chemical composition of PDMS.¹⁸ PDMS has a refractive index of around 1.41 with a visible and negative thermo-optic coefficient corresponding to $dn/dT = -4.5 \times 10^{-4} \text{ } ^\circ\text{C}^{-1}$.^{17,19} A PDMS replica can be covalently attached to a glass substrate using a simple plasma treatment to form a sealed microfluidic device. The deformability of PDMS allows the realization of leak proof fluidic connections and easy integration of fluidic membrane valves that can be also included in an optofluidic chip. Large-scale integration of monolithic microfluidic valves and pumps on PDMS chip has been realized by multilayer soft lithography,^{20,21} novel trapping methods²² and also tunable microstructure separation.²³ Many pioneering optofluidic efforts were made using PDMS microfluidic chips.^{24,25}

There are two principal methods to alter the geometry of the optical elements in PDMS based tunable optofluidic devices. The tuning can be actuated by external or internal forces. For example, in the mechanical tunable optofluidic distributed feedback (DFB) dye laser,²⁶ the tuning is implemented by compressing or stretching the PDMS based dye laser chip with an external mechanical actuator. Internal forces in a PDMS based tunable optofluidic devices are normally generated by the pressure of fluids which fill the inside of the PDMS structure. For example, in a pneumatically tunable dye laser the lasing wavelength can be remotely tuned by inserting compressed air in an internal air-gap etalon in the PDMS laser chip.²⁷ Several such elastomer based optofluidic devices have been demonstrated in the past few years.^{28–30}

2. Tunable optofluidic devices with bulk deformation

The use of bulk deformation as a tuning mechanism has been frequently reported with polymer based micro-optical devices in the past.^{31–34} Such tuning was realized by varying certain dimensions or the volume of the whole device, thereby changing critical dimensions of the optical components, such as the periodicity of a grating or a photonics crystal. For example, several elastomeric optical elements with deformable surface topographies have been demonstrated by Whitesides' group for tunable light transmission and light focusing, as well as force

sensing.³¹ As another example, periodic structures are also known to exhibit form birefringence which can be tuned by strain in elastomers. This was demonstrated by Wilson *et al.* using electrostatic forces to change the size of a PDMS microstructure.³² The change of the physical dimensions of a polymer photonic crystal due to strain has also been achieved by a chemical method where the device is subjected to swelling in the presence of an organic solvent.³³ Unlike in polymer based micro-optical devices, in optofluidic devices the liquid and solid co-exist, and the liquid shape is normally adapted to the solid (elastomer) structure. The bulk deformation of the device induces the simultaneous change in its solid and liquid shape.

Different types of optofluidic dye lasers have been demonstrated,^{35–37} and for the majority of them the lasing wavelength tuning was realized by changing the index of the fluid in the dye solution. The mechanically tunable optofluidic distributed feedback dye laser shown in Fig. 2 was demonstrated by Psaltis' group²⁶ and it was fabricated on a monolithic replica molded PDMS chip. The optical feedback was provided by a phase-shifted higher order Bragg grating embedded in the liquid core of a single mode buried micro-channel waveguide. The laser wavelength could be tuned by mechanically stretching the grating period. For the PDMS composition used in this experiment, it was found that the elastic deformation of PDMS could be over 120%. With such large deformation, the limit on the tunability of the laser was the gain bandwidth of the laser dyes. Only $\sim 5\%$ deformation was used to achieve the ~ 60 nm tuning range demonstrated in this experiment based on the combination of two common dye molecules, Rhodamine 6G and Rhodamine 101. The tuning was continuous and completely reversible, and no noticeable degradation of the chip was observed during a 5-cycle full range tuning test. The advantage of such a tunable dye laser lies in the simplicity and low cost which could be attractive for inexpensive imaging and spectroscopy instruments.

Another type of tunable optofluidic dye laser with deformable micro-droplet was demonstrated by Saito *et al.*³⁸ This laser cavity is a liquid micro-droplet resonator embedded within an elastomeric material. As shown in Fig. 3, the droplet was generated by an inkjet method. The dye solution was then covered by the prepolymer of PDMS. After curing of PDMS, a spherical micro-droplet was encapsulated by the solid transparent elastomer. Under application of external pressure on the chip, the micro-droplet shape changed and as a result its resonant frequency was modified.

3. Tunable optofluidic devices with flexible membrane

An alternative method for tuning elastomer based optofluidic devices is through the internal gas or liquid pressure. The local

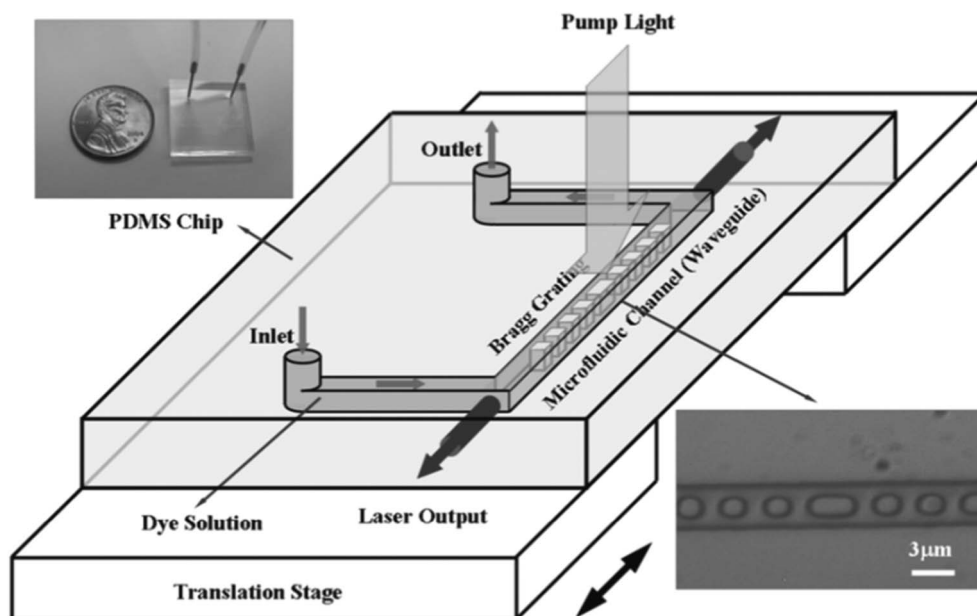


Fig. 2 Diagram of a mechanically tunable optofluidic DFB dye laser chip. The upper inset shows an actual monolithic PDMS laser chip. The lower inset is an optical micrograph of the central phase-shifted region of the laser cavity.

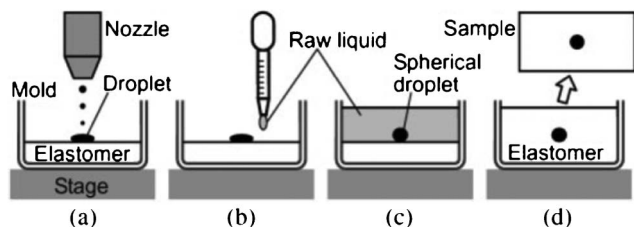


Fig. 3 Steps in the fabrication of a droplet cavity embedded in deformable PDMS: (a) inkjet of the dye solution, (b) injection of the PDMS prepolymer, (c) droplet deformation, and (d) solidification of the elastomer.

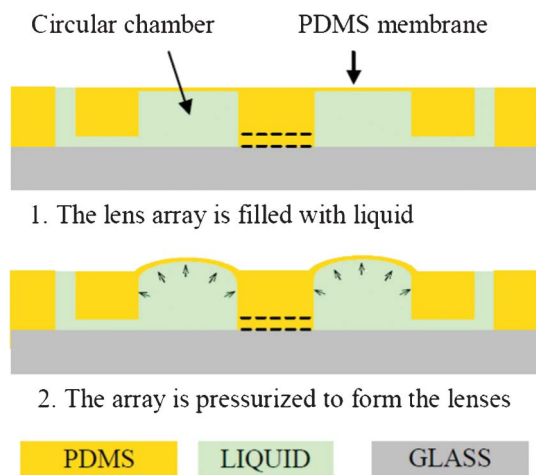


Fig. 4 Schematic representation of a microlens array. Upon pressurizing the elastomeric liquid filled chamber, the membrane deforms forming a plano-convex lens array.

deformation of the elastomer structure induced by pressure implements the optofluidic tuning. There are a large number of such tunable optofluidic devices with a PDMS thin membrane structure which are mostly actuated by pressure.^{39–43} For example, the focusing of tunable fluidic lenses was reported with thin elastic membranes.^{39–41,44–47} Fig. 4 shows a tunable liquid-filled microlens array on top of a microfluidic network which was fabricated using soft lithographic techniques.³⁹ The microlens array consists of circular chambers 200 μm in diameter and 100 μm thick, covered with a 40 μm thick flexible membrane made of PDMS elastomer. The chambers were filled with highly refractive liquid ($n = 1.51$). The simultaneous control of the focal length of all the microlenses composing the elastomeric array was accomplished by pneumatically regulating the pressure of the microfluidic network. The experimental results revealed an almost linear dependence of the maximum membrane deflection on the applied pressure ($\approx 0.16 \mu\text{m kPa}^{-1}$), while a maximum deflection of 11 μm was achieved. By varying the pressure from

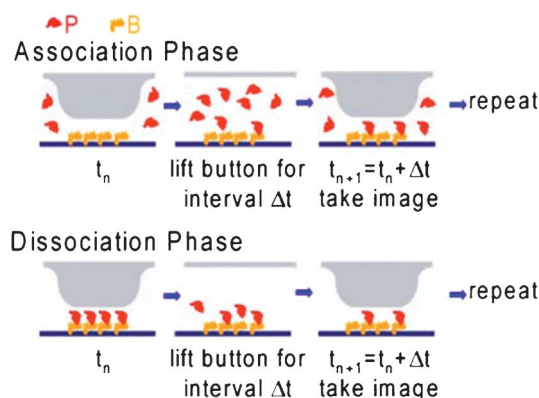


Fig. 5 Cartoon of button functionality in the measurement of the bimolecular interactions.

0 to 35 kPa, the focal length changed by more than 70%. Such an array can potentially be used in dynamic imaging systems and adaptive optics.

It is worth noting that the flexible PDMS membrane is extensively utilized in microfluidics. Developed by Quake's group, the integrated membrane valve has been used for flow control as well as for trapping micro-objects (cells, molecules).⁴⁸ Based on these integrated membrane valve arrays, Quake's group demonstrated highly parallel measurements of the bimolecular interaction kinetic constants on a microfabricated optomechanical device.⁴⁹ A schematic representation of the working principle is shown in Fig. 5. During the association phase of the experiment, the membrane button (valve) is raised for a specific amount of time, during which proteins (P) in solution can associate with a bound protein (B) on the surface. As the protein P is fluorescence tagged, a fluorescent image of the button array is taken when the button is brought down, and the process is repeated. From the collected data, a time-dependent molecule association curve can be created. For the dissociation phase measurement, the process is reversed.

With a similar membrane valve design, we recently presented an optofluidic differential method for carrying out absorption spectroscopy of sub-nanoliter volumes of liquid samples on a chip.⁵⁰ At low liquid volumes, absorption spectroscopy in microfluidics is often hindered by either low sensitivity or complex fabrication. To address this, we realized an optofluidic modulator which can be integrated on to a standard PDMS based microfluidic chip. It contains a micro-scale chamber which is divided into two symmetric sections by a thin membrane. When the fluid pressure of the upper section is higher than the lower section, the membrane is pushed down. In this mode, the reference liquid occupies most of the chamber, hence only the reference liquid contributes to the signal for absorbance spectroscopy. When the fluidic pressure of the lower section is higher than the upper section, only the analyte liquid contributes to the absorbance. With the aid of this modulator, we measured the spectra in each mode and then subtracted them. As the absorbance of the reference liquid is known, the remaining signal corresponds to the analyte absorption spectrum. Due to the method used, the measurement has a high sensitivity and is insensitive to background light. Another advantage is that this method doesn't need a complicated fabrication step, and is compatible with conventional microfluidics, while measurements can be carried out on a conventional microscope.

The elastomer membrane based tuning mechanism was also employed in an optofluidic maskless lithography (OFML) by Lee *et al.*⁵¹ They demonstrated a method for high-throughput generation of 3D microstructures using a membrane mounted microfluidic channel. By utilizing an OFML system, photopolymerized 3D microstructures were fabricated in a layer-by-layer

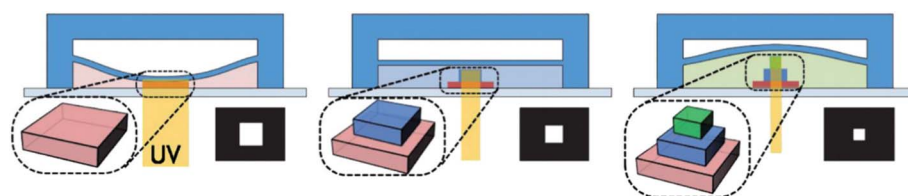


Fig. 6 Schematic illustration of working principle of the 3D-OFML.

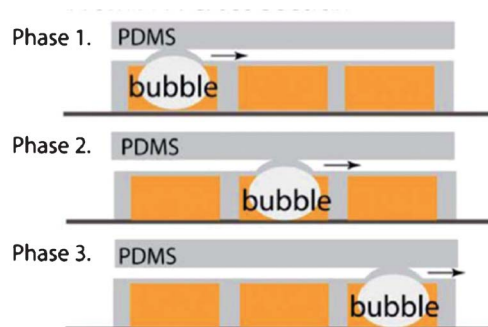


Fig. 7 Schematic representation of a pulsed laser driven peristaltic membrane pump. The synchronized laser induced cavitation bubbles deform the thin membranes in sequence to push the fluid in the sample channel forward.

fashion with the thickness of each layer controlled by the deformation of the membrane. A schematic representation of the working principle is shown in Fig. 6. The OFML is fabricated in a two-layered PDMS microfluidic channel with a UV curable resin filling at the bottom. The resin in the UV exposure area polymerizes throughout the cross-section of the channel except in the oxygen inhibited region at the surface of the PDMS. The height of the bottom channel is controlled *via* deformation of the PDMS membrane under the pneumatic pressure of the top chamber. Thus, the thickness of the polymerized particles can be controlled by the air pressure. As a result, in this 3D-OFML, the height of the channel was modulated in order to generate multilayered structures. High vertical resolution can be achieved with low numerical aperture (NA) optics, which allows a large field-of-view for high-throughput projection lithography.

As can be seen from the above examples, normally the membranes in these tunable optofluidic devices are actuated by pressure. Alternative mechanisms involve the application of electrical fields⁵² or membrane deformation driven by laser-induced cavitation bubbles. With regard to the latter, Chiou's group presented a pulsed laser driven peristaltic pump for driving fluids in a PDMS microchannel.⁵³ A schematic representation of the device is shown in Fig. 7. It is a two-layer PDMS microfluidic device consisting of a top sample channel and a bottom control channel containing dye solution for enabling laser absorption. A tightly focused laser pulse can induce cavitation bubbles which provide large mechanical forces to act on the surrounding fluid and structures. By synchronization, the laser induced cavitation bubbles deform the thin membranes in a certain sequence and hence propel the fluid in the channel. Compared to conventional pneumatic driven processes, this new light pumping process eliminates the tubing required for fluid control and replaces it with an optical scanner

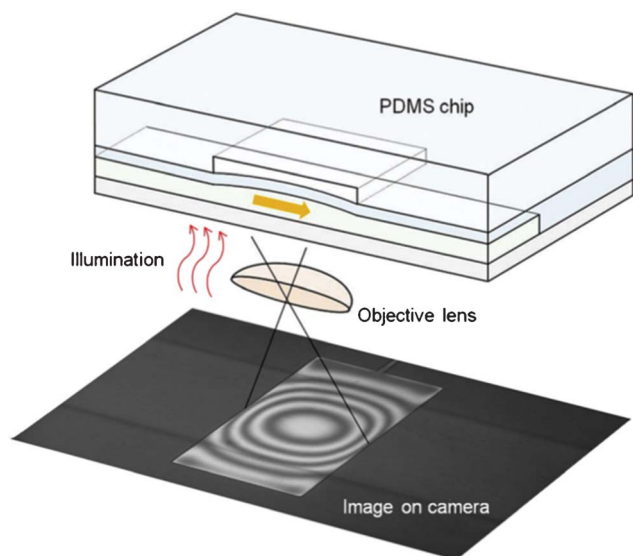


Fig. 8 Schematic representation of the pressure sensing apparatus including the chip and an imaging device.

that does not have to physically interface with the microfluidic chips.

In addition to the pressure mediated active tunable optofluidic device presented above, passive membrane based tunable optofluidic devices have also been reported for pressure sensing applications.^{54–58} Calixto *et al.* demonstrated an imaging method for measuring the pressure in liquids or gases by means of a flexible lens.⁵⁶ This method relies on the deformation of a flexible lens by the pressure applied on its surface. Images given by the lens are analyzed and a calibration curve, in terms of the visibility of the images and pressure, can be determined. To obtain high sensitivity for pressure sensing, the Psaltis group presented an alternative imaging method which is based on a chip-scale optofluidic membrane interferometer.^{57,58} Fig. 8 shows the schematic of the pressure sensing apparatus, including the optofluidic pressure sensor chip and a camera. The chip was made of PDMS by multilayer soft lithography. The image of the air-gap cavity was projected into the camera on a microscope. Upon illumination by monochromatic light, the reflected rays from the air-gap boundaries interfere either constructively or destructively, depending on the air-gap thickness. During measurement, the pressure from the fluid causes deformation of the flexible membrane, hence the variation of the air-gap thickness and the interference pattern from the cavity. The pressure was measured by imaging and analyzing the interference patterns. Since the fabrication of the device is fully compatible with conventional PDMS based optofluidic chips, the sensing unit can be integrated with other microfluidic elements for *in situ* pressure and flow rate measurement.

4. Tunable optofluidic devices with pneumatic actuation

In the flexible membrane based tunable optofluidic devices, the applied internal pressure is expected to deform the membrane in the out-of-plane direction. This is beneficial for many applications, such as lenses with variable focal lengths.⁵⁹ However, for a

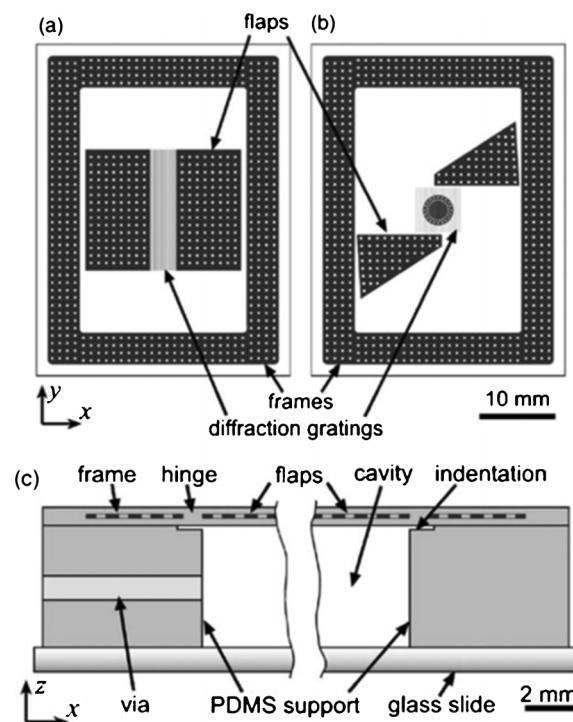


Fig. 9 Composite membrane devices with integrated pneumatically actuated stretcher (a) and rotator (b) respectively. Dark areas correspond to epoxy parts inside the membranes. (c) Schematic drawing of a cross-section of the devices.

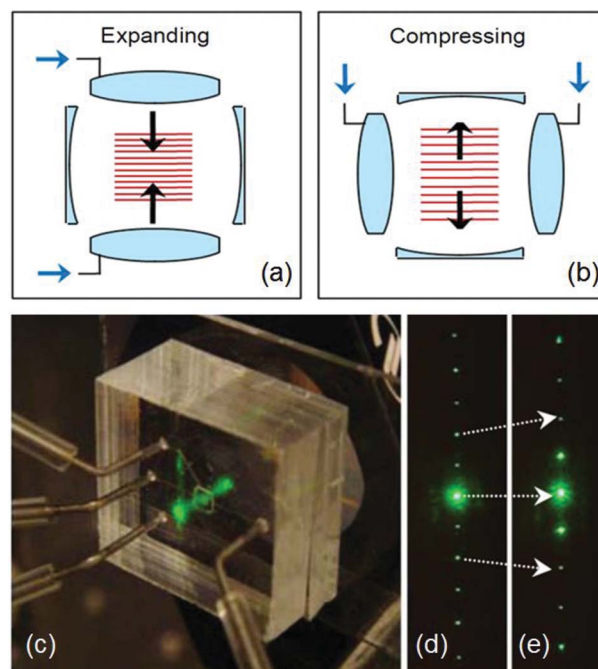


Fig. 10 The top-view drawing illustrates the micro-air-bag actuated tuning (a, b); the PDMS chip was illuminated by a green laser beam (c). Its diffraction patterns with/without compressed air are shown in (d) and (e), respectively.

more complex tuning or a situation where the membrane is not suitable, integration of the pneumatic actuators requires a different approach to achieve control of tunable optofluidic devices. Such a method was first demonstrated by Campbell *et al.* who presented a pressure-driven stretcher and rotator on a composite PDMS device.²⁸ Fig. 9 shows a schematic drawing of the stretcher and rotator respectively (Fig. 9a and b are the top view, while c is the cross-section view). When the interior of the device is pressurized and the membrane is inflated, the flaps are lifted by turning about the edges of the frame. As a result, the flaps are lifted and a torque is applied to the circle. In this experiment, the amount of stretching and rotation was studied by measuring the diffraction of light in the gratings stamped onto the surface of PDMS in the center.

As another example of an integrated pneumatic actuator, the Psaltis group introduced a micro-air-bag which is embedded in a PDMS chip and can generate local deformations.²⁹ Fig. 10a and b show the working principle of a micro-air-bag enabled tunable diffraction grating. A uniform phase grating is located in the center of the four micro-air-bags, and each of them can be independently controlled. By selectively filling the different sections with compressed air, both shrinking and expanding in the grating area can be achieved. The device is shown in Fig. 10c. The chip was characterized by illuminating a green laser pointer and monitoring the diffraction patterns. From the diffraction pattern in Fig. 10d and e, we found a maximum tuning ratio of 20% for the grating.

A micro-air-bag can also take the role of optical component by itself. A pneumatically tunable optofluidic dye laser in which the lasing wavelength is determined by an integrated air-gap etalon was recently demonstrated.²⁷ The schematic of the laser cavity structure is illustrated in Fig. 11. The chip was entirely made of PDMS. It is composed of a liquid core waveguide, at the ends of which two micro-scale air chamber structures are integrated which act as the mirrors providing the feedback. The beam reflections occur at the PDMS/air interfaces due to the refractive index contrast. The air chamber on the left acts as a simple end mirror in the laser cavity. The air chamber on the

right behaves as a low finesse Fabry–Perot (F–P) etalon which determines the lasing wavelength. Since the PDMS is elastic, the air-gap size of the etalon can be varied by pumping the gas into the air chamber with different pressure. Fig. 11c shows the cross-section view of the air-gap etalon; upon increase of the air pressure, the air chamber expands, thereby increasing the effective air gap size.

5. Microchannel volume modulation for tunable optofluidics

Light–matter interactions coupled with mechanical systems have received renewed interest recently in fields such as cavity optomechanics or photoacoustic imaging,^{60,61} as well as more traditional application in optics, such as in liquid crystal elastomers,⁶² where strain and orientation order of the liquid crystal molecules are strongly coupled. Similar examples can also involve a variable phase retarder realized by modifying the optical path length within an elastomeric dielectric, or an optical attenuator achieved by applying pressure on an elastomeric cladding and thus modulating the radiation losses of an embedded waveguide.⁶³ In fluid mechanics, mechanical waves propagating in an elastomer can induce changes to the walls of an integrated microchannel. As a result the channel volume is modulated, causing mass transport and flow. This type of flow is referred to as peristaltic due to its similarity to many physiological ways that fluids are propelled or mixed in living organisms.⁶⁴ In PDMS microfluidics, peristaltic pumping was one of the first demonstrations of how soft lithography enables the integration of multiple functions on-a-chip, such as pumps and mixers.⁶⁵ Similar applications have more recently appeared by integrating MEMs actuators with microfluidics.

Such highly integrated flow methods have only recently started to be used in optofluidics. In general the optical properties of a fluid do not change as the velocity with which it flows changes. Therefore fluid transport does not directly give rise to optical functionalities, apart from when fluids interact with solids as mentioned before, or with other fluids.^{60–61} However, by replacing common buffers with anisotropic fluids, optical effects can arise from simple flow. Such fluids are materials that exhibit order, with typical examples involving colloidal suspensions and liquid crystals.⁶⁶ Liquid crystal microflows have been recently employed in optofluidics, where their direct peristalsis resulted in optical tuning and modulation in the kHz range.⁶⁷ In this paper, the authors demonstrate that the surface energy of PDMS and the channel's structural dimensions are adequate to align the liquid crystals in a microfluidic channel inducing a long range structural order. Under flow, birefringence emerges in the channel. The emerging birefringence is dependent on the flow velocity, thus providing a way of tuning these optofluidic filters. In addition, it is known that birefringence exhibits strong wavelength dependence. This has been recently employed in developing tunable color filters and on-chip optofluidic spectroscopy.⁶⁸

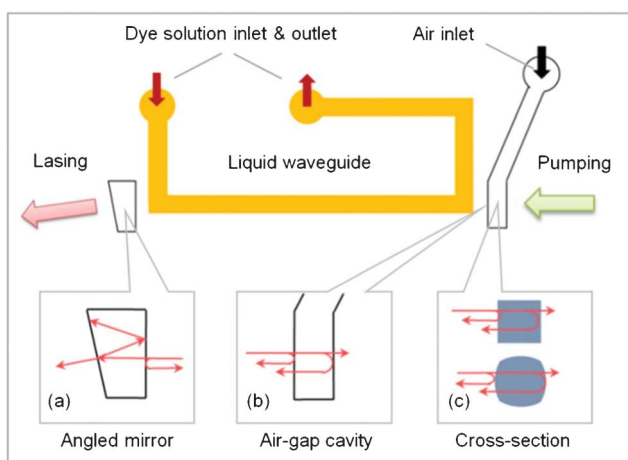


Fig. 11 Schematic representation of the laser cavity structure. Inset (a) shows the detail of the angled mirror, only one interface of which is effective for the laser cavity. Inset (b) shows the air-gap etalon and (c) illustrates the tuning process by air pressure.

6. Summary

Various PDMS based tunable optofluidic devices have been developed in the past few years for different applications.

Meanwhile, PDMS microfluidic chips are extensively utilized in biological and chemical studies. Due to similarities in materials and fabrication methods, optofluidic devices can be easily integrated with other PDMS based microfluidic devices to enable more complex and multiplexed functions. For example, tunable dye laser sources can be used in compact micro-chip scale flow cytometry for high throughput cell screening. Multiple optofluidic interferometers can be integrated into a single microfluidic chip for multi-spot pressure and flow sensing. Optofluidic differential absorbance spectroscopy can be integrated with cell-culture on a single chip for measuring the concentration of protein or DNA *in situ*. Optofluidic light filters can be used in optofluidic microscopy and spectroscopy.^{66,69} Being compatible with conventional microfluidics is the key advantage of elastomer based tunable optofluidic devices. We can foresee more integration of such optofluidic elements towards complete systems for lab-on-a-chip applications, for example, studying mechanical properties at the single cell level.⁷⁰ In parallel, elastomer tunable optofluidic devices can also be extended into the nano-optics or nanofluidic fields. Several tunable plasmon nano devices fabricated on a stretchable PDMS substrate have been demonstrated recently.^{71,72} Combining elastomer based micro/nano devices with nanoplasmonic elements can be interesting for molecule level imaging and spectroscopy.⁷³ A tunable elastic nanofluidic channel was demonstrated recently on a PDMS chip for nanoparticle separation and molecule trapping.⁷⁴ One of the challenges of PDMS based tunable nano-devices is the high accuracy in control. We expect that precision control of PDMS based tunable structures can be realized by very fine pneumatic actuation or connection to a piezo-actuator. We see great potential in further developing tunable optofluidic devices by taking advantage of the elastic deformability of the construction material and the synergetic coupling between optics and fluidics. The operational stability of such devices under operating conditions can be enhanced by adapting lifetime enhancement techniques as in microfluidics; for example the mechanical stability of PDMS can be enhanced by further doping the polymer with glass nanoparticles,^{75,76} while fluidic evaporation can be addressed by doping the PDMS with evaporation inhibitors, such as parylene.⁷⁷

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